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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Naoki SAIKUSA

Appln. No. 09/760,775

Group Art Unit: 2633

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APR 08 2002

Confirmation No.: 4734

Examiner: Unknown Technology Center 2600

Filed: January 17, 2001

For: PON TRANSMISSION SYSTEM AND DYNAMIC BAND ASSIGNMENT SYSTEM
TO BE EMPLOYED IN THE SAME

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) (substitute for PTO Form 1449) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

1. Japanese Unexamined Patent Application Publication No. 2000-332808, published November 30, 2000 (corresponds to Japanese Unexamined Patent Application Publication No. 11-141107, published May 21, 1999).
2. Japanese Unexamined Patent Application Publication No. 11-341037, published December 10, 1999.
3. Japanese Unexamined Patent Application Publication No. 11-146000, published May 28, 1999.

Naoki SAIKUSA
09/760,775
INFORMATION DISCLOSURE STATEMENT

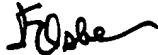
4. Japanese Unexamined Patent Application Publication No. 11-122279, published April 30, 1999.
5. Japanese Unexamined Patent Application Publication No. 2000-358041, published December 26, 2000.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a corresponding Japanese Office Action dated February 5, 2002, and a English translation of the pertinent portions thereof, which cites and indicates the degree of relevance found by the foreign office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,



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Substitute for Form 1449 A & B/PTO		<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	09/760,775
		Confirmation Number	4734
		Filing Date	January 17, 2001
		First Named Inventor	Naoki SAIKUSA
		Art Unit	2633
		Examiner Name	Unknown
Sheet	1	of	1
		Attorney Docket Number	

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US			RECEIVED
		US			APR 08 2002
		US			Technology Center 2600
		US			
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FOREIGN PATENT DOCUMENTS

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to indicate here if English language Translation is attached.